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Award Number: W81XWH-13-1-0325

TITLE: Developing Novel Therapeutic Approaches in Small Cell Lung Carcinoma Using Genetically Engineered Mouse Models and Human Circulating Tumor Cells

PRINCIPAL INVESTIGATOR: Jeffrey Engelman MD PhD

CONTRACTING ORGANIZATION: Massachusetts General Hospital  
Boston MA 02114-2621

REPORT DATE: October 2015

TYPE OF REPORT: Annual

PREPARED FOR: U.S. Army Medical Research and Materiel Command  
Fort Detrick, Maryland 21702-5012

DISTRIBUTION STATEMENT: (Check one)

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| 1. REPORT DATE (DD-MM-YYYY)<br>October 2015   |                             | 2. REPORT TYPE<br>Annual     |  | 3. DATES COVERED (From - To)<br>15 Sep 2014 - 14 Sep 2015 |  |
| 4. TITLE AND SUBTITLE<br>Developiing Novel Therapeutic Approaches in Small Cell Lung Carcinoma Using Genetically Engineered Mouse Models and Human Circulating Tumor Cells  |                             |                              |  | 5a. CONTRACT NUMBER                                       |  |
|   |                             |                              |  | 5b. GRANT NUMBER<br>W81XWH-13-1-0325                      |  |
|   |                             |                              |  | 5c. PROGRAM ELEMENT NUMBER                                |  |
| 6. AUTHOR(S)<br>Jeffrey Engelman MD PhD   |                             |                              |  | 5d. PROJECT NUMBER  |  |
|   |                             |                              |  | 5e. TASK NUMBER   |  |
|   |                             |                              |  | 5f. WORK UNIT NUMBER                                      |  |
| 7. PERFORMING ORGANIZATION NAME(S) AND ADDRESS(ES)<br><br>Massachusetts General<br>Hospital 55 Fruit St<br>Boston MA 02114  |                             |                              |  | 8. PERFORMING ORGANIZATION REPORT NUMBER                  |  |
| 9. SPONSORING / MONITORING AGENCY NAME(S) AND ADDRESS(ES)<br>US Army Medical Research and Materiel Command<br>Fort Detrick, MD 21702-5012   |                             |                              |  | 10. SPONSOR/MONITOR'S ACRONYM(S)                          |  |
|   |                             |                              |  | 11. SPONSOR/MONITOR'S REPORT NUMBER(S)                    |  |
| 12. DISTRIBUTION / AVAILABILITY STATEMENT<br><br>Approved for Public Release; Distribution Unlimited  |                             |                              |  |   |  |
| 13. SUPPLEMENTARY NOTES   |                             |                              |  |   |  |
| 14. ABSTRACT<br><br>We have successfully developed mouse models in which to perform in vivo experiments, as well as developed expertise in live animal imaging to enable monitoring to tumors over time in these models. We have initiated treatment studies with chemotherapy and with targeted therapies in our models. Our preliminary data indicate that tumor response to chemotherapy in our models is modest. However, we find that tumor response to combination targeted therapy is significantly superior to either targeted therapy alone or to no treatment. Correlative biomarker studies are underway, including the isolation and enumeration of circulating tumor cells from SCLC patients. These findings support further development of this combination therapy approach and we anticipate ongoing experiments to assess correlative biomarkers.   |                             |                              |  |   |  |
| 15. SUBJECT TERMS<br>Small cell lung cancer (SCLC), Genetically engineered mouse model (GEMM), BH3 mimetic, TORC inhibitor, Apoptosis, Preclinical therapeutics   |                             |                              |  |   |  |
| 16. SECURITY CLASSIFICATION OF:   |                             |                              | 17. LIMITATION OF ABSTRACT<br><br>Unclassified | 18. NUMBER OF PAGES<br><br>14                             | 19a. NAME OF RESPONSIBLE PERSON<br>USAMRMC |
| a. REPORT<br>Unclassified   | b. ABSTRACT<br>Unclassified | c. THIS PAGE<br>Unclassified |  |   | 19b. TELEPHONE NUMBER (include area code)  |

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## INTRODUCTION

Small cell lung cancer (SCLC) is an aggressive neuroendocrine carcinoma with a median survival of less than one year and a five-year overall survival of under 2% in the metastatic setting. While chemotherapy initially induces a response in most patients, metastatic disease invariably recurs rapidly and is often resistant to additional conventional therapies. To date, there are no effective targeted therapeutic approaches in SCLC and research efforts to develop new therapeutic strategies for these cancers have lagged far behind those for non-small cell lung cancer. This project aims to address these fundamental challenges by utilizing genetically engineered mouse models (GEMMs) of SCLC that faithfully recapitulate the human disease, as well as circulating tumor cells (CTCs) from both the GEMMs and human SCLC patients to develop a new therapeutic approach. Our therapeutic strategy, the combination of a BH3-mimetic and an mTOR complex (TORC) catalytic site inhibitor, is based on our understanding of the mechanisms of apoptosis and growth arrest in SCLC tumors. Our project aims to (1) explore the mechanism of chemotherapy response and resistance in the GEMM, (2) investigate the activity of combination BH3-mimetic and TORC inhibition therapy in the GEMM, and (3) utilize patient-derived CTCs to monitor treatment response. This report reviews our progress during the second year of funding.

## KEYWORDS

Small cell lung cancer (SCLC)  
Genetically engineered mouse model (GEMM)  
BH3 mimetic  
TORC inhibitor  
Apoptosis  
Preclinical therapeutics

## ACCOMPLISHMENTS

### Major goals of the project

#### Specific Aims:

Specific Aim 1: Determine biomarkers of response and resistance to standard chemotherapy in SCLC GEMMs.

Specific Aim 2: Perform preclinical study of combination targeted therapy in SCLC GEMMs.

Specific Aim 3: Utilize patient-derived CTCs as a means to monitor treatment response and predict sensitivity to treatment.

#### Major Tasks (as detailed in the Statement of Work, modified 9/22/14):

*Please see Appendix 1, Statement of Work for MGH.*

1. Analyze CTCs from SCLC patients at MGH enrolled on DF/HCC protocol 05-300

### Accomplishments under these goals

- ☐ **Major Task 8:** The goal of this task is to analyze CTCs from patients with SCLC to assess for changes in CTC number and expression of markers relevant to our combination treatment strategy (P-4EBP1, P-S6, BIM, Bcl-2, Bcl-xL, and Mcl-1

using ISH and IHC) over the course of chemotherapy treatments.

This work will be performed entirely at MGH under the direction of Dr. Engelman. As we described in a prior submission requesting changes to the Statement of Work, Dr. Engelman's group has proposed what we believe to be an improved approach for accomplishing this task. The original grant proposal had described accomplishing this Aim by using the herringbone chip (Maheswaran et al., 2008; Nagrath et al., 2007; Yu et al., 2012). This technology captures cells to a device and allows for enumeration and IHC and in-situ hybridization (ISH) on CTCs, but does not enable collection or propagation of live CTCs. Recently, the Haber and Toner labs at MGH have developed a microfluidic-based live CTC capture platform, the CTC-iChip (Karabacak et al., 2014; Ozkumur et al., 2013). Using antigen-based removal of leukocytes and granulocytes, this technology enables unbiased collection of unperturbed live CTCs in suspension. The Engelman group now proposes to leverage CTC-iChip technology to generate mouse xenograft models directly from SCLC CTCs. Generation of SCLC "CTC-derived xenografts" (CDXs) has recently been described (Hodgkinson et al., 2014). The Engelman group proposes generate xenografts in a similar manner. CTC enrichment from whole blood will be performed using the <sup>neg</sup>CTC-iChip and <sup>pos</sup>CTC-iChip platforms (Karabacak et al., 2014; Ozkumur et al., 2013). They will quantify the number of enriched CTCs per mL of whole blood using histologic stains and neuroendocrine marker immunofluorescence. Collected CTCs in suspension will then be mixed with an equal volume of matrigel and directly transplanted subcutaneously (SC) into the flanks of NOD/SCID mice that lack the interleukin-2 gamma receptor (NSG mice) (Quintana et al., 2012; Quintana et al., 2008). They will measure tumor dimensions at least three times weekly to determine the efficiency and kinetics of CDX generation. They will utilize these CDX models to perform the molecular analyses outlined in the original proposal. CDX

| PDX       | Stage | # Prior Tx | PDX source          | P0 latency (days) |
|-----------|-------|------------|---------------------|-------------------|
| MGH1501   | LS    | 0          | CTC                 | 76                |
| MGH1504   | LS    | 0          | CTC                 | 160               |
| MGH1505-2 | ES    | 4          | CTC                 | 108               |
| MGH1506   | ES    | 1          | CTC                 | 133               |
| MGH1508   | ES    | 4          | CTC                 | 201               |
| MGH1512-A | ES    | 2          | Bx (neck LN)        | 60                |
| MGH1512-B | ES    | 2          | Bx (neck LN)        | 64                |
| MGH1514   | ES    | 0          | CTC                 | 130               |
| MGH1514-4 | ES    | 3          | CTC                 | 31                |
| MGH1515   | ES    | 0          | CTC                 | 108               |
| MGH1517   | ES    | 0          | Bx (brain)          | 145               |
| MGH1518-B | ES    | 0          | Bx (paratrach mass) | 127               |
| MGH1518-C | ES    | 0          | Bx (paratrach mass) | 81                |
| MGH1521-A | ES    | 0          | CTC                 | 74                |
| MGH1522   | ES    | 0          | Bx (liver met)      | 54                |
| MGH1523-B | ES    | 0          | Pleural Effusion    | 31                |
| MGH1524   | ES    | 0          | CTC                 | 83                |
| MGH1525   | ES    | 0          | CTC                 | 45                |

**Table 1: MGH SCLC CDX/PDX models.** LS: limited stage. ES: extensive stage. Tx: lines of treatments. Bx: Biopsy. LN: Lymph node. P0 latency: Time from tissue implantation to detection of palpable tumor.

tumors from CTCs isolated before and after chemotherapy treatment will be interrogated for relative expression of TORC1 pathway mediators (P-S6, P-4EBP1) and apoptosis mediators (Bcl-2, Bcl-xL, BIM, Mcl-1) to determine if measurement of these molecular markers will be informative in clinical development of this novel therapy. Notably, the overall enrollment goal has been reduced to 20 patients given the added resources needed for taking the approach detailed above.

The progress to date is shown below. We have successfully isolated CTCs from several SCLC patients. IHC efforts are underway but have not been successful to date. The injection into mice is not currently being supported by the DOD grant but is shown below to demonstrate feasibility and as a basis for the mouse amendment that will be submitted imminently so these studies can be done as part of the DOD grant and overcome the IHC hurdles (please see CHANGES below).

**Opportunities for training and professional development provided by this project**

Nothing to report

**How were the results disseminated to communities of interest?**

- ☐ Publication: Faber AC, Farago AF, Costa C, Dastur A, Gomez-Caraballo M, Robbins R, Wagner BL, Rideout WM 3rd, Jakubik CT, Ham J, Edelman EJ, Ebi H, Yeo AT, Hata AN, Song Y, Patel NU, March RJ, Tam AT, Milano RJ, Boisvert JL, Hicks MA, Elmiligy S, Malstrom SE, Rivera MN, Harada H, Windle BE, Ramaswamy S, Benes CH, Jacks T, Engelman JA. Assessment of ABT-263 activity across a cancer cell line collection leads to a potent combination therapy for small-cell lung cancer. Proc Natl Acad Sci U S A. 2015 Mar 17;112(11):E1288-96.

**What do you plan to do during the next reporting period to accomplish the goals?**

- ☐ MGH will perform ongoing CTC collection and analysis from human SCLC patients enrolled on protocol 05-300. The MGH group will also develop CTC-derived xenograft models as described above.

**IMPACT**

**What was the impact on the development of the principal discipline(s) of the project?**

Both our study (Faber et al., 2015) and another study (Gardener et al., 2014) assessed the activity of combination Bcl-2 and mTOR inhibition in SCLC and demonstrated strong preclinical activity. Based on these results, clinical investigators on these studies are planning a phase 1/phase 2 study of combination Bcl-2 and mTOR inhibitor therapies. Dr. Hann, Dr. Farago, and Dr. Rudin have submitted a collaborative letter of intent to the Cancer Therapy Evaluation Program (CTEP) at the NCI, and the proposed trial is moving forward in planning stages. This trial will offer a new and innovative therapeutic option for patients with small cell lung cancer.

**What is the impact on other disciplines?**

Nothing to report.

**What was the impact on technology transfer?**

Nothing to report.

**What was the impact on society beyond science and technology?**

Nothing to report.

**CHANGES/PROBLEMS****Changes in approach and reasons for change**

We have no additional changes to make in our approach beyond what was requested on 9/22/14 and reported in our first annual report.

**Actual or anticipated problems or delays and actions or plans to resolve them**

The IHC assays on CTCs is not working well. We plan to overcome this problem by using the CTC-derived PDX models to assess the effects of therapeutics on TOR signaling and BCL-2 family members.

**Changes that had a significant impact on expenditures**

We have no additional changes to make in our budget expenditures other than what was requested on 9/22/14 and reported in our first annual report.

**Significant changes in use or care of human subjects, vertebrate animals, biohazards and/or select agents**

We have no additional changes to make in use of vertebrate animals, biohazards and/or select reagents beyond what was requested on 9/22/14 and reported in our first annual report. We plan to submit a mouse protocol for the generation of the CTC-derived PDX mice.

**PRODUCTS****Publications, conference papers, and presentations**

- Published manuscript: Faber AC, Farago AF, Costa C, Dastur A, Gomez-Caraballo M, Robbins R, Wagner BL, Rideout WM 3rd, Jakubik CT, Ham J, Edelman EJ, Ebi H, Yeo AT, Hata AN, Song Y, Patel NU, March RJ, Tam AT, Milano RJ, Boisvert JL, Hicks MA, Elmiligy S, Malstrom SE, Rivera MN, Harada H, Windle BE, Ramaswamy S, Benes CH, Jacks T, Engelman JA. [Assessment of ABT-263 activity across a cancer cell line collection leads to a potent combination therapy for small-cell lung cancer.](#) Proc Natl Acad Sci U S A. 2015 Mar 17;112(11):E1288-96

**PARTICIPANTS & OTHER COLLABORATING ORGANIZATIONS**

- **What individuals have worked on the project?**

|  |                  |
|--|------------------|
| Name:                                  | Jeffrey Engelman |
| Project Role:                          | PI               |
| Researcher Identifier (e.g. ORCID ID): | No change        |
| Nearest person month worked:           | 1                |
| Contribution to Project:               | No change        |
| Funding Support:                       | No change        |

|  |                       |
|--|-----------------------|
| Name:                                  | Erin Sennott          |
| Project Role:                          | Fellow                |
| Researcher Identifier (e.g. ORCID ID): | No change             |
| Nearest person month worked:           | 9                     |
| Contribution to Project:               | Research Fellow       |
| Funding Support:                       | No change             |
| Name:                                  | Luc Friboulet         |
| Project Role:                          | Fellow                |
| Researcher Identifier (e.g. ORCID ID): | No change             |
| Nearest person month worked:           | 6                     |
| Contribution to Project:               | Research Fellow       |
| Funding Support:                       | No change             |
| Name:                                  | Hannah Archibald      |
| Project Role:                          | Technician            |
| Researcher Identifier (e.g. ORCID ID): | No change             |
| Nearest person month worked:           | 5                     |
| Contribution to Project:               | Research Technician   |
| Funding Support:                       | No change             |
| Name:                                  | Eugene Lifshits       |
| Project Role:                          | Technologist          |
| Researcher Identifier (e.g. ORCID ID): | No change             |
| Nearest person month worked:           | 6                     |
| Contribution to Project:               | Research Technologist |
| Funding Support:                       | No change             |
| Name:                                  | Max Greenberg         |
| Project Role:                          | Technician            |
| Researcher Identifier (e.g. ORCID ID): | No change             |
| Nearest person month worked:           | 4                     |
| Contribution to Project:               | Research Technician   |
| Funding Support:                       | No change             |
| Name:                                  | Haichuan Hu           |
| Project Role:                          | Technician            |
| Researcher Identifier (e.g. ORCID ID): | No change             |
| Nearest person month worked:           | 3                     |
| Contribution to Project:               | Research Technician   |
| Funding Support:                       | No change             |



- **Has there been a change in the active other support of the PD/PI(s) or senior/key personnel since the last reporting period?**

Dr. Engelman's Other Support is attached.

- **What other organizations were involved as partners?**
  - Nothing to Report.

## **SPECIAL REPORTING REQUIREMENTS**

### **COLLABORATIVE AWARDS**

This project is designed as a collaborative project with Dr. Tylers's group at MIT. We have maintained excellent communication with our collaborators about this project and have revised and published a manuscript over the past year. The progress described in this report reflects work done at MGH.

## **APPENDICES**

1. Statement of Work, with proposed modifications included. Please note that this was submitted 9/22/14 to the Department of Defense for review.
2. Other support for Dr. Jeffrey Engelman

**APPENDIX 1:** *Statement of Work, with modifications included. Please note that this was submitted 9/22/14 to the Department of Defense for review.*

**STATEMENT OF WORK – 07/01/2013**  
**PROPOSED START DATE September 1, 2013**

Site 1: Massachusetts Institute of  
Technology Koch Institute for  
Integrative Cancer Research  
  
500 Main Street  
  
Cambridge MA 02139

Site 2: Massachusetts General Hospital  
Cancer Center  
  
Building 149  
  
13<sup>th</sup> Street  
  
Charlestown MA 02129

Initiating PI: Dr. Tyler Jacks

Partnering PI: Dr. Jeffrey Engelman

| <b>Specific Aim 1: Determine biomarkers of response and resistance to standard chemotherapy in SCLC GEMMs.</b>  | <b>Timeline</b> | <b>Site 1<br/>(Initiating PI)</b> | <b>Site 2<br/>(Partnering PI)</b> |
|---|-----------------|-----------------------------------|-----------------------------------|
| <b>Major Task 1:</b> Generation of PR and PRP harboring SCLC tumors for use in chemotherapy studies   | Months          |                                   |                                   |
| Subtask 1: Infection of PR mice (age 6-8 weeks) with adenovirus-Cre.<br><input type="checkbox"/> Number of mice to infect: 60<br><b>COMPLETED</b>   | 1-6             | Dr. Jacks                         |                                   |
| Subtask 2: Infection of PRP mice (age 6-8 weeks) with adenovirus-Cre.<br><input type="checkbox"/> Number of mice to infect: 60<br><b>UNDERWAY</b><br><b>MODIFICATION: We will discontinue infection of PRP mice for this project.</b>   | 1-9             | Dr. Jacks                         |                                   |
| <b>Major Task 2:</b> Chemotherapy treatment studies in PR and PRP mice harboring SCLC tumors.<br>Modification: Going forward, we propose to limit chemotherapy treatment studies to PR mice.  |                 |                                   |                                   |
| Subtask 1: MRI/CT imaging and acute treatment<br><input type="checkbox"/> PRP mice (months 5-8)<br><b>COMPLETED</b><br><input type="checkbox"/> PR mice (months 10-14)  | 5-14            | Dr. Jacks                         |                                   |
| <b>COMPLETED</b>  |                 |                                   |                                   |
| Subtask 2: Luminescence imaging, MRI/CT imaging, and chronic treatment of mice<br><input type="checkbox"/> PRP mice (months 5-12)<br><b>MODIFIED/DISCONTINUED</b><br><input type="checkbox"/> PR mice (months 10-24)<br><b>ONGOING</b><br>MODIFICATION: We will continue chronic chemotherapy experiments in PR mice and will discontinue chronic chemotherapy experiments in PRP mice. | 5-12            | Dr. Jacks                         |                                   |
| <b>Major Task 3:</b> Collection and analysis of tissues from chemotherapy treated mice.<br>Modification: These analyses will be conducted primarily in PR mouse tissue.   |                 |                                   |                                   |

|   |       |           |              |
|---|-------|-----------|--------------|
| Subtask 1: Histologic analysis of acutely and chronically treated mice, including IHC for markers of platinum activity and DNA damage (months 6-18)<br><b>UNDERWAY</b>  | 6-18  | Dr. Jacks |              |
| Subtask 2: Cell line derivation from chronically treated mice (months 9-18)<br><b>UNDERWAY</b>  | 9-18  | Dr. Jacks |              |
| Subtask 3: Protein analysis of tumors from acutely and chronically chemotherapy-treated mice.<br><input type="checkbox"/> Jacks lab: Collection of frozen tissue and preparation of protein lysate.<br><input type="checkbox"/> Engelman lab: IHC and Western blotting for Bcl-2, BIM, Mcl-1, Bcl-xL, and PUMA<br><input type="checkbox"/> Engelman lab: IP for BIM and blotting for associated proteins<br><b>UNDERWAY</b> | 9-18  | Dr. Jacks | Dr. Engelman |
| Subtask 4: Identification of differentially expressed transcripts in chemotherapy sensitive vs resistant tumors.<br><input type="checkbox"/> Preparation of total RNA and submission to biopolymers facility for mRNA sequencing (months 12-18) <b>UNDERWAY</b><br><input type="checkbox"/> Bioinformatic analysis of data (months 18-24)   | 12-24 | Dr. Jacks |              |
| <b>Major Task 4:</b> Collection and analysis of circulating tumor cells (CTCs) from chemotherapy treated mice.  |       |           |              |
| Subtask 1: Collect whole blood from mice at time of necropsy<br><b>ONGOING</b>  | 6-18  | Dr. Jacks |              |
| Subtask 2: MODIFIED: CTC collection in the Jacks laboratory using fluorescence activated cell sorting (FACS) to identify TdTomato-expressing tumor cells in whole mouse blood. <b>UNDERWAY</b>  | 6-18  | Dr. Jacks | Dr. Engelman |
| <b>Milestone #1:</b> Co-author manuscript describing the efficacy of standard chemotherapy in the PR and PRP models, with emphasis on differences between PR and PRP chemosensitivity, apoptotic response to chemotherapy in the acute versus chronic setting, and expression differences between untreated, acutely treated, and chronically treated tumors  | 18-24 | Dr. Jacks | Dr. Engelman |

|  |       |           |              |
|--|-------|-----------|--------------|
| <b>Specific Aim 2: Perform preclinical study of combination targeted therapy in SCLC GEMMs.</b>  |       |           |              |
| <b>Major Task 5:</b> Generation of PR and PRP mice for use in combination targeted therapy studies.  |       |           |              |
| Subtask 1: Infection of PR mice (age 6-8 weeks) with adenovirus-Cre.<br><input type="checkbox"/> Number of mice to infect: 130<br><b>COMPLETED</b>   | 1-9   | Dr. Jacks |              |
| Subtask 2: Infection of PRP mice (age 6-8 weeks) with adenovirus-Cre.<br><input type="checkbox"/> Number of mice to infect: 130<br><b>COMPLETED/DISCONTINUED</b>   | 1-12  | Dr. Jacks |              |
| <b>Major Task 6:</b> Acute treatment of mice with ABT-263, AZD8055, their combination, or vehicle and subsequent analysis  |       |           |              |
| Subtask 1: Acute treatments of mice and tissue collection<br><input type="checkbox"/> MRI/CT imaging and acute treatments of PR and PRP mice<br><input type="checkbox"/> <b>COMPLETED</b><br><input type="checkbox"/> Collection of tumors for histology and protein lysate preparation in PR mice<br><b>COMPLETED</b> | 12-18 | Dr. Jacks |              |
| Subtask 2: IHC and western blotting of tumors for P-4EBP1, P-S6, BIM, Bcl-2, Bcl-xL, Mcl-1 from PR tumors<br><b>UNDERWAY</b>   | 12-30 |           | Dr. Engelman |
| Subtask 3: CTC capture and analysis<br><input type="checkbox"/> Jacks lab: Collection of whole blood for CTC capture by (FACS) to identify TdTomato-expressing tumor cells in whole mouse blood.<br><b>ONGOING</b>   | 12-24 | Dr. Jacks | Dr. Engelman |

|  |       |           |              |
|--|-------|-----------|--------------|
| <b>Major Task 7:</b> Chronic treatment of mice with ABT-263, AZD8055, their combination, or vehicle  |       |           |              |
| Subtask 1: Imaging by luminescence and MRI, and treatment of chemotherapy-naïve mice with targeted therapy in PR mice<br><b>COMPLETED</b>  | 18-30 | Dr. Jacks |              |
| Subtask 2: Treatment of chemotherapy-resistance mice with targeted therapy   | 21-30 | Dr. Jacks |              |
| <i><b>Milestone #2:</b> Co-author manuscript describing efficacy of novel combination targeted therapy ABT-263/AZD8055 in genetically distinct models of SCLC (PRP and PR) and in chemotherapy-resistant mSCLC tumors.</i>                                 | 30-32 | Dr. Jacks | Dr. Engelman |
| <b>Specific Aim 3: Utilize patient-derived CTCs as a means to monitor treatment response and predict sensitivity to treatment.</b>   |       |           |              |
| <b>Major Task 8:</b> Analyze CTCs from SCLC patients at MGH enrolled on DF/HCC protocol 05-300<br>MODIFICATION: CTCs will be collected using the CTC-iChip technology and directly transplanted into immune compromised mice to generate xenograft models. |       |           |              |
| Subtask 1: Enroll patients and collect CTCs. Quarterly enrollment goal: 4 to 5 patients. Overall enrollment goal: 40 patients.<br><b>UNDERWAY</b><br><b>MODIFICATION: overall enrollment goal 20 patients</b>  | 6-33  |           | Dr. Engelman |
| Subtask 2: Analyze CTC number and correlate with radiographic changes in disease<br>CTC quantification is underway<br>We propose to modify this subtask by transplanting isolated CTCs into immune compromised mice to generate xenograft models.          | 12-36 |           | Dr. Engelman |
| Subtask 3: Analyze CTCs for P-4EBP1, P-S6, BIM, Bcl-2, Bcl-xL, and Mcl-1 using ISH and IHC<br>We propose performing these analyses on xenografted tumors, in addition to directly in CTCs.   | 12-33 |           | Dr. Engelman |
| <i><b>Milestone #3:</b> Co-author manuscript describing the utility of human SCLC CTC measurement and analysis for tracking the progression of disease and predicting sensitivity to treatments, with correlation to findings in the mouse models.</i>     | 33-36 | Dr. Jacks | Dr. Engelman |

**Projected Quarterly Enrollment for CTC collection under MGH protocol 05-300**

|  | <b>Year 1</b> |           |           |           | <b>Year 2</b> |           |           |           | <b>Year 3</b> |           |           |           |
|--|---------------|-----------|-----------|-----------|---------------|-----------|-----------|-----------|---------------|-----------|-----------|-----------|
| <b>Target Enrollment<br/>(per quarter)</b>           | <b>Q1</b>     | <b>Q2</b> | <b>Q3</b> | <b>Q4</b> | <b>Q1</b>     | <b>Q2</b> | <b>Q3</b> | <b>Q4</b> | <b>Q1</b>     | <b>Q2</b> | <b>Q3</b> | <b>Q4</b> |
| Site 2: MGH (original)                               |               |           | 4         | 4         | 4             | 4         | 4         | 5         | 5             | 5         | 5         |           |
| <b>MODIFIED target enrollment:</b>                   |               |           | <b>2</b>  | <b>2</b>  | <b>2</b>      | <b>2</b>  | <b>2</b>  | <b>2</b>  | <b>2</b>      | <b>3</b>  | <b>3</b>  |           |
| <b>Target Enrollment<br/>(cumulative) (original)</b> |               |           | <b>4</b>  | <b>8</b>  | <b>12</b>     | <b>16</b> | <b>20</b> | <b>25</b> | <b>30</b>     | <b>35</b> | <b>40</b> |           |
| <b>MODIFIED total enrollment<br/>(cumulative)</b>    |               |           | <b>2</b>  | <b>4</b>  | <b>6</b>      | <b>8</b>  | <b>10</b> | <b>12</b> | <b>14</b>     | <b>17</b> | <b>20</b> |           |

## Appendix 2: Research Support for Jeffrey Engelman

### Active

|                                      |                |              |
|--------------------------------------|----------------|--------------|
| R01 CA137008 Engelman, Sequist (MPI) | 4/1/15-3/31/20 | 1.2 calendar |
| NIH-NCI                              | \$250,000      |              |

#### **Impact of Heterogeneity on Response to EGFR T790M Inhibitors**

Competitive renewal of “The Activation of ERBB3 Signaling as a Resistance Mechanism to Targeted Therapies”. The goals of this grant are to understand the activity of T790M-specific EGFR inhibitors, also called 3<sup>rd</sup>-generation EGFR inhibitors.

|                                  |                      |              |
|----------------------------------|----------------------|--------------|
| R01CA140594 Wong, Engelman (MPI) | 8/3/09-3/31/18       | 1.8 calendar |
| NIH-NCI                          | \$143,813 (Engelman) |              |

#### **Therapeutic Strategies for Specific Subsets of KRAS mutant lung cancers**

The overall goal of this grant is to develop novel therapeutic strategies that are specifically active against KRAS mutant lung cancers harboring p53 or LKB1 mutations.

|  |                   |              |
|--|-------------------|--------------|
| Lung Cancer Translational Research Engelman (PI) | 8/1/15 – 7/31/18  | 2.4 calendar |
| Stand Up to Cancer/American Cancer Society       | \$1,452,559 (MGH) |              |

#### **Targeting KRAS Mutant Lung Cancers**

This project develops targeted therapy and immunotherapy approaches to KRAS mutant lung cancers.

|                                    |                    |              |
|------------------------------------|--------------------|--------------|
| 1R01 CA164273 Shaw, Engelman (MPI) | 2/20/12 – 12/31/16 | 1.2 calendar |
| NIH-NCI                            | \$207,500          |              |

#### **Identification of Resistance Mechanisms to Anaplastic Lymphoma Kinase Inhibitors**

This project will explore the molecular mechanisms underlying acquired resistance to crizotinib by generating laboratory models of ALK-positive NSCLC.

|                       |                  |              |
|-----------------------|------------------|--------------|
| P01CA080124 Jain (PI) | 5/1/12 – 4/30/17 | 1.2 calendar |
| NIH-NCI               | \$24,439         |              |

#### **Integrative Pathophysiology of Solid Tumors**

Core B: Molecular and Cellular Biology and Morphology

This is a translational program that explores the manipulation of the tumor microenvironment to improve treatment outcome.

Role: Core investigator

|                         |                  |             |
|-------------------------|------------------|-------------|
| P50 CA127003 Fuchs (PI) | 7/1/13 – 6/30/18 | .6 calendar |
| NIH-NCI                 | \$71,811         |             |

#### **DF/HCC SPORE in Gastrointestinal Cancer; Project 3: Defining Novel Therapeutic Strategies for KRAS\_Mutant CTC**

This project will identify novel targets that synergize with MEK for the treatment of KRAS mutant colorectal cancers.

Role: Project PI

|                                 |                  |             |
|---------------------------------|------------------|-------------|
| LC120297 Engelman, Sequist (PI) | 8/1/13 – 7/31/16 | .6 calendar |
| Dept of Defense-CDMRP           | \$170,000        |             |

#### **Deficient BIM Expression and a Mechanism of Intrinsic and Acquired Resistance to Targeted Therapies in EGFR Mutant and ALK-positive Lung Cancers**

The goal of this project is to develop a biomarker to predict suboptimal response to therapy and to develop an alternative therapeutic treatment strategy.

|                              |                     |              |
|------------------------------|---------------------|--------------|
| Sequist, Engelman, Neal (PI) | 10/15/13 – 10/14/16 | .12 calendar |
| LUNgevity                    | \$177,357           |              |



**Determining Mechanisms of Resistance to Next-Generation EGFR Inhibitors**

This project will perform repeat biopsies on NSCLC patients with acquired resistance to next-generation EGFR TKIs and assess the tumor material via genotyping, whole genome sequencing and individualized patient-derived laboratory models.

LC120307 Jacks, Engelman (PI)

9/15/13 -- 9/14/16

1.2 calendar

Dept of Defense-CDMRP

\$137,550

**Developing Novel Therapeutic Approaches in Small Cell Lung Carcinoma Using Genetically Engineered Mouse Models and Human Circulating Tumor Cells**

This project will apply a genetically engineered mouse model (GEMM) of SCLC to interrogate mechanisms of response and resistance to standard chemotherapy.

R01 CA194535 Jain (PI)

4/1/15 – 3/31/20

.6 calendar

NIH-NCI

\$228,750

**Improving Treatment of Brain Metastases from HER2-positive Breast Cancer**

The proposed study aims to demonstrate the NRG-1/HER3 axis as a novel therapeutic target for HER2+ breast cancer brain metastases.

Role: Co-Investigator